

**Problem 4.1** – Space and time (8 points)

(1) In a numerical method like FDTD, the time step  $\Delta t$  and a spatial grid step like  $\Delta x$  cannot be chosen independently. Find out from information about FDTD methods why the time step must satisfy the inequality

$$\Delta t \leq \frac{\Delta x}{c} \quad (4.1)$$

How is this criterion modified in a medium with a complex refractive index  $n + ik$  like a metal?

(2) For a metal described by the Drude model, the response of the medium (dielectric function) can be represented in terms of a current density  $\mathbf{j}$ . Show from the Drude dielectric function that Ohm's law (conductivity  $\sigma$ ) takes the following form in the time domain

$$\partial_t \mathbf{j}(\mathbf{x}, t) = -\frac{\mathbf{j}(\mathbf{x}, t)}{\tau} + \frac{\sigma}{\tau} \mathbf{E}(\mathbf{x}, t) \quad (4.2)$$

and formulate an FDTD version of this equation. What would be your guess for the ratio  $\Delta t/\tau$ ?

**Problem 4.2** – Rayleigh's dynamic theory of gratings (12 points)

'On the dynamical theory of gratings', Lord Rayleigh, *Proc. R. Soc. London A* **79** (1907) 399–416

Consider a relief grating with a surface  $z = h(x)$  which is periodic with period  $a$ . A scalar field  $\phi(x, z)$  is incident on this grating and satisfies the wave equation

$$(\Delta + k^2)\phi = 0, \quad z \geq h(x) \quad (4.3)$$

as well as the 'hard wall boundary condition':

$$\phi(x, h(x)) = 0 \quad (4.4)$$

At large distances  $z \rightarrow \infty$ , the field is the sum of an incident field and a reflected (scattered) field

$$z \rightarrow \infty : \quad \phi(x, z) = \phi_{\text{in}} e^{ik(x \sin \theta - z \cos \theta)} + \phi_{\text{refl}}(x, z) \quad (4.5)$$

(1) Explain why the reflected field can be written in the form

$$\phi_{\text{refl}}(x, z) = \sum_n a_n e^{i(k \sin \theta + nq)x + ik_{zn}z}, \quad (k \sin \theta + nq)^2 + k_{zn}^2 = k^2 \quad (4.6)$$

with  $\text{Im } k_{zn} \geq 0$  for large  $n$ . Calculate for the  $n$ th diffracted wave  $\phi_n(x, z) = a_n e^{i(k \sin \theta + nq)x + ik_{zn}z}$  the ‘Poynting vector’ (current density)

$$\mathbf{j}_n = \text{Im}(\phi_n^* \nabla \phi_n) \quad (4.7)$$

and discuss the cases of real and imaginary  $k_{zn}$ .

(2) Make the assumption (Rayleigh approximation) that the asymptotic form (4.5) is valid down to the surface  $z = h(x)$  and calculate  $a_n$  for a corrugation small compared to the wavelength:  $k|h(x)| \ll 1$ .

(3) In the Kirchhoff approximation, one assumes that the grating surface reflects like a planar surface would, except for an additional phase shift. This yields a reflected field

$$\phi_{\text{refl}}(x, z) = -e^{-2ikh(x) \cos \theta} e^{ik(x \sin \theta + z \cos \theta)} \quad (4.8)$$

Consider a relief  $h(x) = h \cos qx$  and calculate the diffraction amplitudes  $a_n$ .