

# Chapter 3

## Quantum states of light

### 3.1 Single mode

The quantized light field can be in different states. We start here with a single mode of the field. This may be a oversimplification, but single-mode fields have become part of the experimental reality with the advent of high-quality optical cavities. These devices give an electromagnetic field whose amplitude, in the region between two well-reflecting mirrors, is much higher at some resonant frequencies. The ‘mode function’ is in this case not a plane wave, of course, but a standing wave. In the transverse directions, one often has a gaussian profile. Around a cavity resonance, it is a frequent approximation to treat the full field as if it contained only a single mode. The coupling to other modes may be taken into account as a loss.

The electric field is given by

$$\mathbf{E}(\mathbf{x}, t) = E_1 \boldsymbol{\varepsilon} \left( a(t) + a^\dagger(t) \right) \sin kz \quad (3.1)$$

where  $z$  is the coordinate along the cavity axis and  $k = n_z \pi / L$ . The factor  $E_1$  can be called the ‘electric field per photon’. From the multimode expansion,  $E_1$  is given by the prefactor  $E_k = (\hbar \omega_k / 2 \varepsilon_0 V)^{1/2}$ . The corresponding ‘intensity’ is

$$I_{1\text{ph}} = \varepsilon_0 c E_k^2 = \frac{\hbar \omega_k c}{2V}. \quad (3.2)$$

In a cavity, we can take for  $V$  the volume ‘filled’ by the mode. For a transverse mode size of 1 micrometer and a cavity length of 1 cm, we get  $I_{1\text{ph}} \sim 10^3 \text{ mW/cm}^2$  which is not really small. The total power, however, is quite small:

about  $10^{-8}$  W. Note also that these numbers are based on very ‘tight’ (diffraction-limited) focussing — beams with larger cross-section have a smaller ‘field per photon’.

In the Heisenberg picture, the field operator evolves as

$$\mathbf{E}(\mathbf{x}, t) = E_1 \boldsymbol{\varepsilon} \left( a e^{-i\omega t} + a^\dagger e^{i\omega t} \right) \sin kz \quad (3.3)$$

A combination of annihilation and creation operators like the one in parentheses is called a ‘quadrature’. Quadratures always come in pairs. One can find a second quadrature variable by shifting the origin of time by one quarter period:  $\propto -i a e^{-i\omega t} + i a^\dagger e^{i\omega t}$ . This corresponds to the magnetic field [compare eqs. (2.64) and (2.65)]. In analogy to the harmonic oscillator, one often uses the following quadrature variables

$$X = \frac{a + a^\dagger}{\sqrt{2}} \quad P = \frac{a - a^\dagger}{\sqrt{2}i} \quad (3.4)$$

or more generally

$$X_\theta = \frac{a e^{-i\theta} + a^\dagger e^{i\theta}}{\sqrt{2}} \quad (3.5)$$

with  $X_0 = X$  and  $X_{\pi/2} = P$ .

### 3.1.1 Number states

The simplest quantum states of the single mode field are given by the well-known stationary states of the harmonic oscillator. These quantum states are called ‘Fock states’ or ‘number states’  $|n\rangle$ . They are eigenstates of the ‘photon number operator’

$$\hat{n} = a^\dagger a = a a^\dagger - 1 \quad (3.6)$$

and are generated by applying the creation operator to the ground state of the oscillator:

$$|n\rangle = \frac{1}{\sqrt{n!}} (a^\dagger)^n |0\rangle \quad (3.7)$$

The expectation value of the annihilation operator is zero in a number state:

$$\langle a \rangle_n = \langle n | a | n \rangle = \sqrt{n} \langle n | n - 1 \rangle = 0 \quad (3.8)$$

The same is true for the creation operator. It follows that the electric field average vanishes in a Fock state:

$$\langle \mathbf{E}(\mathbf{x}, t) \rangle_n = 0 \quad (3.9)$$

The ground state of the field mode is called the ‘vacuum’ (no photon, i.e., no excitation present). It is found by looking for the state that is annihilated by the annihilation operator:  $a|\text{vac}\rangle = 0$ . Obviously, this is also an eigenstate of the photon number operator with zero photons:  $|\text{vac}\rangle = |0\rangle$ . In the vacuum state, the electric field is also zero on average, of course.

But there are fluctuations around this average, called ‘quantum noise’. In the vacuum state of the single mode (3.1), e.g., we get

$$\langle \mathbf{E}(\mathbf{x}, t)^2 \rangle_0 = E_1^2 \sin^2 kz \langle 0 | (a(t) + a^\dagger(t)) (a(t) + a^\dagger(t)) | 0 \rangle \quad (3.10)$$

and this combination of operators gives an average

$$\langle 0 | (a(t) + a^\dagger(t)) (a(t) + a^\dagger(t)) | 0 \rangle = \langle 0 | a(t) a^\dagger(t) | 0 \rangle = 1 \quad (3.11)$$

The ‘vacuum noise’ in our mode is thus given by the squared single photon field  $E_1^2 \sin^2 kz$ . Similarly, the other quadrature variable  $a(t) - a^\dagger(t)$  shows a noise strength of unity. This is in accordance with Heisenberg’s indeterminacy relation, since

$$[a(t) + a^\dagger(t), a(t) - a^\dagger(t)] = -2. \quad (3.12)$$

In the exercises, you are asked to compute the variances of these quadratures in an arbitrary number state  $|n\rangle$ .

The electric field fluctuations in the vacuum state play an important role in the interpretation of the Casimir effect and of the spontaneous decay of excited atomic states.

Finally, the creation and annihilation operators connect states whose photon numbers differ by one. In this sense, the ‘creation operator’  $a^\dagger$  creates one photon since for example

$$\langle 1 | a^\dagger | 0 \rangle = 1. \quad (3.13)$$

This matrix element plays an important role when one computed the probability amplitude that an excited atomic state emits a photon. For stimulated emission, one needs  $\langle n + 1 | a^\dagger | n \rangle = \sqrt{n + 1}$ . Similarly, the ‘annihilator’  $a$  destroys one photon:

$$\langle 0 | a | 1 \rangle = 1. \quad (3.14)$$

This matrix element is needed to compute absorption, and in the general case,  $\langle n - 1 | a | n \rangle = \sqrt{n}$ .

### 3.1.2 Thermal states

This class of field states is also quite important, but it is more general than the ‘pure’ states described before. In a thermal state, one has to use both classical and quantum statistics. One introduces the concept of *density operator* that combines the two.

#### Density operators

A *density operator* is a hermitean operator  $\hat{\rho}$  on the Hilbert space  $\mathcal{H}$  of the quantum system under consideration, with the properties

- $\rho$  is positive, i.e.,  $\langle \psi | \hat{\rho} | \psi \rangle \geq 0$  for all  $\psi \in \mathcal{H}$
- $\rho$  is a trace class operator, i.e.,  $\text{tr } \hat{\rho} = \sum_n \langle n | \hat{\rho} | n \rangle = 1$  where the vectors  $|n\rangle$  form a basis of  $\mathcal{H}$ .

It is easy to see the inequality  $0 \leq \langle \psi | \hat{\rho} | \psi \rangle \leq 1$  for a normalized state vector. Physically, this means that this the real number can be interpreted as a probability: it is the probability to find the system in the state  $|\psi\rangle$  when performing a measurement.

The expectation value of an operator  $A$  is now given by the rule

$$\langle A \rangle_{\hat{\rho}} = \text{tr}(A\hat{\rho}) = \text{tr}(\hat{\rho}A) \quad (3.15)$$

where the order under the trace can be changed because of cyclic permutations.

In a sense, thermal quantum states are a natural generalization of classical thermodynamics to the quantum world. One uses stationary states, hence the number states we found first, and imposes Boltzmann statistics to describe the field at thermal equilibrium.

For the oscillator system of the field mode we are discussing here, stationary states are the number states  $|n\rangle$ ; they occur with a classical probability proportional to the Boltzmann factor  $e^{-n\hbar\omega/k_B T}$ . The density operator is given by

$$\hat{\rho} = \frac{1}{Z} \sum_{n=0}^{\infty} e^{-n\hbar\omega/k_B T} |n\rangle \langle n| \quad (3.16)$$

The normalization factor  $Z$  is found by requiring that the trace of this operator be unity:

$$Z = \text{tr} \left( \sum_{n=0}^{\infty} e^{-n\hbar\omega/k_B T} |n\rangle \langle n| \right) = \sum_{n=0}^{\infty} e^{-n\hbar\omega/k_B T} = \frac{1}{1 - e^{-\hbar\omega/k_B T}}, \quad (3.17)$$

where a geometric series has been summed. You know this sum from classical thermodynamics as ‘partition function’ (*Zustandssumme*). The normalized probabilities

$$p_n(T) = (1 - e^{-\hbar\omega/k_B T}) e^{-n\hbar\omega/k_B T} \quad (3.18)$$

are simply the classical probability that the stationary state  $|n\rangle$  is realized in the canonical ensemble.

We note that the terms  $|n\rangle\langle n|$  in the sum (3.16) are also density operators: they are obviously positive and have trace unity. (In fact, the trace boils down to the norm squared of the state  $|n\rangle$ .) The thermal density operator is thus a probability-weighted sum of density operators.<sup>1</sup> But the density operators  $|n\rangle\langle n|$  are special because they are made up of a single state. These quantum states are called *pure*. A formal definition:

- A density operator  $\hat{\rho}$  describes a *pure state* if  $\hat{\rho}^2 = \hat{\rho}$ .

In mathematics, operators with this property are called *projectors*. This is also what is suggested by the Dirac notation  $|\psi\rangle\langle\psi|$ : this operator acts on the Hilbert space by first projecting onto the state  $|\psi\rangle$  and then gives back a vector proportional to  $|\psi\rangle$ , just what happens in geometry for the projection onto a vector.

## Purity

States that are not pure are called ‘mixed’. This can be made more quantitative:

- The *purity* of a density operator  $\hat{\rho}$  is defined by

$$\text{Pu}(\hat{\rho}) = \text{tr}(\hat{\rho}^2 - \hat{\rho}) + 1 = \text{tr} \hat{\rho}^2 \quad (3.19)$$

where the two definitions are equal if  $\hat{\rho}$  is trace-normalized.

The purity is normalized such that for pure states,  $\text{Pu}(\hat{\rho}) = 1$ . It is easy to see that the first term in (3.19),  $\text{tr}(\hat{\rho}^2 - \hat{\rho})$  is negative for mixed states. One can also show that all states with  $\text{Pu}(\hat{\rho}) = 1$  are necessarily pure.

To show these properties, evaluate the trace of  $\hat{\rho}^2$  in the eigenbasis of  $\rho$ . All eigenvalues are in the interval  $[0, 1]$ .

<sup>1</sup>One talks about a *convex sum* if all coefficients are real numbers between zero and one.

## A thermal field mode

At optical frequencies and room temperature, the Boltzmann factor has a large negative argument for  $n \geq 1$  so that the field is essentially at zero temperature. This is different for microwave radiation, e.g., or for star atmospheres.

Simple exercise: mean photon number. Let us apply the general rule (3.15):

$$\langle \hat{n} \rangle_T = \text{tr}(\hat{n} \hat{\rho}_T) = \frac{1}{Z} \sum_{n=0}^{\infty} \langle n | \hat{n} \exp(-\hbar\omega \hat{n}/k_B T) | n \rangle = \quad (3.20)$$

The number operators and the Boltzmann ‘operator’ act on their eigenvectors, hence

$$\langle \hat{n} \rangle_T = \frac{1}{Z} \sum_{n=0}^{\infty} n \exp(-\hbar\omega n/k_B T) = \frac{1}{e^{\hbar\omega/k_B T} - 1}. \quad (3.21)$$

Exercise: photon number variance. Result:

$$(\Delta n)_T^2 = \frac{e^{\hbar\omega/k_B T}}{(e^{\hbar\omega/k_B T} - 1)^2} = \frac{1}{4 \sinh^2(\hbar\omega/2k_B T)}. \quad (3.22)$$

Exercise: discuss the purity  $\text{Pu}(T) = \text{Pu}(\hat{\rho}_T)$ . It is a function that goes to zero linearly when  $\omega/T \rightarrow 0$  (‘hot’ or ‘classical’ limit) and reaches asymptotically unity for  $\omega/T \rightarrow \infty$  (‘cold’ or ‘quantum’ limit).

Electric field fluctuations in a single mode at finite temperature:

$$\langle \mathbf{E}^2(\mathbf{x}, t) \rangle_T = E_1^2 \sin^2 kz \langle a(t)a^\dagger(t) + a^\dagger(t)a(t) \rangle_T = E_1^2 \sin^2 kz (2\langle \hat{n} \rangle_T + 1) \quad (3.23)$$

they are enhanced by a factor  $2\langle \hat{n} \rangle_T + 1 = \coth(\hbar\omega/2k_B T)$  compared to zero temperature.

Three remarks on the advantages of the density operator formalism: the traces that are required for expectation values can be taken in any basis. One can choose a basis adapted to the operator whose average one is interested in. Second, the presence of the density operator  $\hat{\rho}$  *under the trace* ensures that the trace exists even if the operator  $A$  has ‘large matrix elements’ (like the photon number operator). Well, this is in fact just a restriction on the observables and states that are mathematically allowed. Thermal states have the advantage that the expectation values exist for a broad class of observables because the matrix elements of the density operator become rapidly small for large  $n$ .

The third advantage of using a density operator approach is that it gives a suitable description of a quantum system whose dynamics is not completely known and can only be specified by probabilities. In that case, one formulates an equation of motion for the density matrix from the solution of which the averages of all interesting quantities can be calculated.

### Preparation of a thermal state with rate equations

As an example of the last remark, we sketch here a ‘preparation scheme’ for a thermal state. We are going to use ‘rate equations’: differential equations for the diagonal elements  $p_n(t) = \langle n | \hat{\rho}(t) | n \rangle$ :

$$\frac{dp_n}{dt} = -\kappa n p_n + \kappa' n p_{n-1} - \kappa' (n+1) p_n + \kappa (n+1) p_{n+1} \quad (3.24)$$

The constants  $\kappa$  and  $\kappa'$  can be interpreted as transition rates between states: the transition  $|n\rangle \rightarrow |n-1\rangle$  happens with the rate  $\kappa n$  (this rate appears as a negative term in  $\dot{p}_n$  and as a positive term in  $\dot{p}_{n-1}$ ). This process can be interpreted physically as the loss of one of the  $n$  photons. This photon goes into a ‘thermostat’ or ‘environment’ and is absorbed there. Similarly, the system described by  $\hat{\rho}$  can absorb one photon from the thermostat – this happens with a ‘Bose stimulation factor’ because for the transition  $|n-1\rangle \rightarrow |n\rangle$ , the rate is  $\kappa' n$ . (To be read off from the second and third terms in Eq.(3.24).) Even the vacuum state can absorb a photon, hence not  $n-1$ , but  $n$  appears here.

If one waits long enough, the density matrix (more precisely, its diagonal elements) relax into a steady state given by the equations of ‘detailed balance’

$$0 = -\kappa n p_n^{(ss)} + \kappa' n p_{n-1}^{(ss)} \quad (3.25)$$

This equation implies that  $\dot{p}_n = 0$  in Eq.(3.24), but is slightly stronger. (One can probably show it by induction, starting from  $n=0$ .) Eq.(3.25) gives a recurrence relation that links  $p_n^{(ss)}$  to  $p_{n-1}^{(ss)}$ , whose solution is

$$p_n^{(ss)} \sim \left( \frac{\kappa'}{\kappa} \right)^n =: e^{-n\hbar\omega/k_B T} \quad (3.26)$$

where we can identify the temperature  $T$  from the ratio of the rate constants  $\kappa'/\kappa$ . (One needs  $\kappa' < \kappa$ , otherwise, no stable equilibrium state is found.) Of course, this definition of temperature is linked to assigning an energy  $n\hbar\omega$  to the state  $|n\rangle$ .

### 3.1.3 Coherent states (I)

The coherent state  $|\alpha\rangle$  is an eigenstate of the annihilation operator:

$$a|\alpha\rangle = \alpha|\alpha\rangle \quad (3.27)$$

Since  $a$  is not an hermitean operator,  $\alpha$  can be complex. In a coherent state, the average electric field is nonzero:

$$\langle \mathbf{E}(\mathbf{x}, t) \rangle_\alpha = E_1 \sin kz \langle \alpha | (a(t) + a^\dagger(t)) | \alpha \rangle = E_1 \sin kz (\alpha e^{-i\omega t} + \alpha^* e^{i\omega t}). \quad (3.28)$$

We have assumed the field in a coherent state of the initial annihilator  $a$ . This expression is the same that we have used in chapter 1 for a classical, monochromatic field. The magnetic field quadrature also has on average its classical value in a coherent state. Coherent states are thus very useful to represent laser fields. We see that  $\alpha$  measures the electric field strength in units of the ‘single photon field’  $E_1$ . If we compute the average photon number in a coherent state, we get

$$\langle \hat{n} \rangle_\alpha = \langle a | a^\dagger a | \alpha \rangle = |\alpha|^2, \quad (3.29)$$

so that as an order of magnitude  $\langle E \rangle \approx E_1 \langle \hat{n} \rangle^{1/2}$  (note the nonlinear dependence).

Coherent states are not stationary, but rotate in the complex  $\alpha$ -plane: if  $|\psi(0)\rangle = |\alpha\rangle$ , then  $|\psi(t)\rangle = |\alpha e^{-i\omega t}\rangle$ . This can be shown using the expansion of a coherent state in terms of number states:

$$|\alpha\rangle = e^{-|\alpha|^2/2} \sum_{n=0}^{\infty} \frac{\alpha^n}{\sqrt{n!}} |n\rangle \quad (3.30)$$

Note that number states with arbitrarily high photon numbers are present in a coherent state. More specifically, we can introduce the probability  $p_n(\alpha)$  of finding  $n$  photons in a coherent state:

$$p_n(\alpha) = |\langle n | \alpha \rangle|^2 = e^{-|\alpha|^2} \frac{|\alpha|^{2n}}{n!} \quad (3.31)$$

which is a ‘Poisson distribution’ (the probability distribution of the sum of independent random bits). In the exercises, you are asked to compute the average photon number and its fluctuations (variance) in a coherent state.

The field quadratures also show quantum fluctuations around their classical average in a coherent state. This is inevitable because of the Heisenberg inequality. In the exercises, you are asked to show that these are equal to the quantum noise in the vacuum state (which is in fact a particular coherent state with  $\alpha = 0$ ). This result is often graphically displayed in the complex  $\alpha$ -plane by the sketch shown in fig. 3.1. Note that since  $a = (X + iP)/\sqrt{2}$ , the  $\alpha$ -plane may be identified with the classical phase space of a harmonic oscillator. The gray

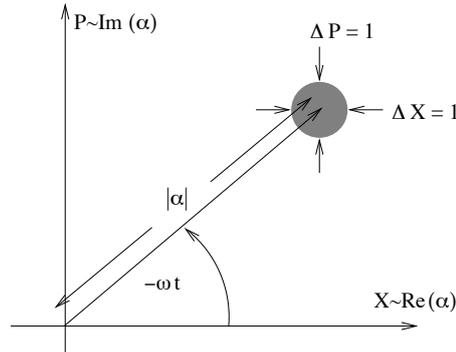


Figure 3.1: Representation of a coherent state in phase space.

area in this sketch indicates values for the position and momentum quadratures that are probable outcomes of measurements. This representation is of course schematic since  $X$  and  $P$  cannot be measured simultaneously. We shall give it a precise meaning in section 3.1.4 where we show how coherent states can be used to expand any field state. (There are some subtleties related to the fact that they are not eigenstates of an hermitean operator.)

Finally, coherent states are not orthogonal. This is again a consequence of being the eigenstate of a non-hermitean operator. Let us calculate the overlap

$$\begin{aligned}
 \langle \alpha | \beta \rangle &= \sum_n e^{-|\alpha|^2/2 - |\beta|^2/2} \sum_{n=0}^{\infty} \frac{\alpha^{*n} \beta^n}{n!} \\
 &= \exp \left[ -\frac{1}{2} (|\alpha|^2 + |\beta|^2 - \alpha^* \beta - \alpha \beta^* - \alpha^* \beta + \alpha \beta^*) \right] \\
 &= \exp \left[ -\frac{1}{2} |\alpha - \beta|^2 + i \operatorname{Im} \alpha^* \beta \right] \tag{3.32}
 \end{aligned}$$

Here, we have split the complex overlap into its magnitude: a Gaussian with maximum at  $\beta = \alpha$  and a phase factor. If we consider the Gaussian as a function of  $\alpha$ , we get a peaked function in the phase space plane, with a typical width (the same in all directions) of the order of  $\frac{1}{2}$  or 1.

### 3.1.4 Phase space distribution functions

The quantum states of the radiation field can be characterized by their behaviour in phase space. Fig.3.1 is one example for a coherent state. Can a similar picture be also constructed for the vacuum state? Yes: the vacuum is a special coherent state,  $|\text{vac}\rangle = |0\rangle$ . What about number states or thermal states?

There are several possibilities to construct distribution functions on the ‘phase space’ spanned by the quadratures  $X$  and  $P$ . This is rooted in the fact that these are non-commuting operators.

### The Q-function

The overlap we calculated (3.32) motivates the following function to characterize a quantum state the *Husimi or Q-function*

$$Q(\alpha) = \frac{1}{\pi} \langle \alpha | \hat{\rho} | \alpha \rangle \quad (3.33)$$

where the meaning of the prefactor  $1/\pi$  will become clear soon. Each density operator  $\hat{\rho}$  defines a Q-function and more generally, the Q-function just provides an alternative characterization of the quantum state.

The Q-function has the following nice property: it is positive  $Q(\alpha) \geq 0$  for all  $\alpha$  and any density operator  $\hat{\rho}$ . This directly follows from  $\hat{\rho}$  being a density operator and the coherent state  $|\alpha\rangle$  being a normalizable Hilbert space vector.

For a pure coherent state,  $\hat{\rho} = |\beta\rangle\langle\beta|$ , the Q-function is a Gaussian centered at  $\alpha = \beta$  and a spread of order unity, see (3.32).

Exercise: for a thermal state,  $Q_T(\alpha)$  is a Gaussian centered at  $\alpha = 0$  with a width of order  $[\langle \hat{n} \rangle_T + 1]^{1/2}$ .

How would the Q-function look for a number state? A first guess is a ‘ring’, since the photon number (or energy) is fixed and shows no fluctuations. This is not far from the precise answer that we have already calculated:

$$Q_n(\alpha) = |\langle \alpha | n \rangle|^2 = e^{-|\alpha|^2} \frac{|\alpha|^{2n}}{n!} \quad (3.34)$$

where now the Poisson distribution has to be read as a function of  $\alpha$ . It is manifestly isotropic, increases like a power law  $|\alpha|^{2n}$  near the origin and decays in a gaussian manner for large  $\alpha$ . The maximum indeed occurs for  $|\alpha|^2 \approx n$ . The rim of this ‘volcano distribution’ becomes narrower and narrower as  $n$  increases.

### The P-function

This function, also called Glauber-Sudarshan distribution provides an expansion of the density operator in the basis of coherent states. There are two variants: the (‘simple’) P-function

$$\hat{\rho} = \int d^2\alpha P(\alpha) |\alpha\rangle\langle\alpha| \quad (3.35)$$

and the ‘positive P-function’

$$\hat{\rho} = \int d^2\alpha d^2\beta P(\alpha, \beta^*) |\alpha\rangle\langle\beta| \quad (3.36)$$

It is actually surprising that any density operator (well, there are some restrictions) can be represented as a sum of projectors  $|\alpha\rangle\langle\alpha|$  on coherent states. This is related to the coherent states being not orthogonal. The price to pay is also that the P-function can be a quite singular distribution, containing  $\delta$ -functions and derivatives of  $\delta$ -functions.

Example: for a coherent state,

$$\hat{\rho} = |\beta\rangle\langle\beta| : \quad P_{\hat{\rho}}(\alpha) = \delta(\alpha - \beta) \quad (3.37)$$

where the  $\delta$ -function is defined with respect to the integration measure:  $\delta(\alpha) = \delta(\text{Re } \alpha) \delta(\text{Im } \alpha)$ .

It is easy to see, by taking the expectation value in a coherent state, that *the Q-function is a Gaussian convolution (Faltung) of the P-function*:

$$Q(\alpha) = \int d^2\beta P(\beta) \exp(-\frac{1}{2}|\alpha - \beta|^2) \quad (3.38)$$

This explains why the Q-function behaves always ‘less singularly’ than the P-function.

### 3.1.5 Coherent states (ii) Displacement in phase space

How is it possible to generate a coherent state physically? One possible answer is ‘never’ because to this end, one must be able to control the phase of the complex number  $\alpha$ , or equivalently, the origin of time (recall the discussion before Eq.(3.30)). In practice, however, it is at least useful, if not necessary, to think ‘as if’ the phase of a light field were controlled, for example in a laser field. For an instructive discussion, see two papers by Klaus Mølmer 1997 where he talks about a ‘convenient fiction’. A physical example where it is plausible that the phase of a light field can be controlled is the ‘free electron laser’ where a beam of electrons (in an accelerator ring) is modulated (‘wiggler’) in a controlled way and made to emit photons into a laser cavity.

This example comes close to the following single-mode Hamiltonian

$$H = \hbar\omega \left( a^\dagger a + \frac{1}{2} \right) + i\hbar \left( e^{-i\omega_s t} g a^\dagger - e^{i\omega_s t} g^* a \right) \quad (3.39)$$

where the first term is the energy of our mode and the second term describes, e.g., the coupling of a classical dipole oscillator at frequency  $\omega_s$  with the field mode (in the electric-dipole interaction, replace the dipole operators  $\sigma_{\pm}$  by c-numbers), or of a classical current density  $\mathbf{j}(x, t)$  with the vector potential of the mode (via the minimal coupling interaction). We are going to see that *classical sources generate coherent states*.

In the interaction representation, the first term is transformed away and the exponentials  $e^{\pm i(\omega - \omega_s)t}$  appear.<sup>2</sup> If we choose resonant conditions,  $\omega_s = \omega$ , we thus get a Schrödinger equation with a time-independent Hamiltonian. The solution is thus given by (in the interaction picture)

$$|\tilde{\psi}(t)\rangle = \exp[t(ga^\dagger - g^*a)]|\tilde{\psi}(0)\rangle = \hat{D}(gt)|\tilde{\psi}(0)\rangle \quad (3.40)$$

where  $\hat{D}(\alpha)$  is the so-called displacement operator

$$\hat{D}(\alpha) = \exp(\alpha a^\dagger - \alpha^* a) \quad (3.41)$$

Let us assume that the mode starts in the vacuum state, we thus find using the Baker-Campbell-Hausdorff identity<sup>3</sup>

$$|\psi(t)\rangle = \exp[t(ga^\dagger - g^*a)]|0\rangle = e^{-|g|^2 t^2/2} e^{gt a^\dagger} e^{-g^* t a}|0\rangle \quad (3.42)$$

Now the annihilation operator gives 0 when acting on the vacuum state, so that its exponential reduces to unity here. Expanding the exponential with the creation operator in a power series, we find

$$|\psi(t)\rangle = e^{-g^2 t^2/2} \sum_{n=0}^{\infty} \frac{(gt a^\dagger)^n}{n!} |0\rangle = |gt\rangle \quad (3.43)$$

This interaction thus generates a coherent state with amplitude  $\alpha = gt$  that grows linearly in time. To obtain a stationary result, either the ‘oscillator amplitude’  $g$  can be made time-dependent, or loss processes have to be added.

We have just shown that coherent states can be obtained by applying a ‘displacement operator’ to the vacuum state:

$$|\alpha\rangle = D(\alpha)|0\rangle \quad D(\alpha) = \exp\{\alpha a^\dagger - \alpha^* a\} \quad (3.44)$$

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<sup>2</sup>The others, involving  $e^{\pm i(\omega + \omega_s)t}$  are neglected in the Hamiltonian by making the resonance or ‘rotating wave’ approximation.

<sup>3</sup> If the commutator  $[A, B]$  commutes with  $A$  and  $B$ :  $e^A e^B = e^{A+B + \frac{1}{2}[A, B]}$ .

This unitary operator also displaces the creation and annihilation operators as follows (to prove by deriving a differential equation in the ‘Heisenberg picture’, setting  $\alpha = gt$ )

$$D^\dagger(\alpha) a D(\alpha) = a + \alpha \quad (3.45)$$

$$D^\dagger(\alpha) a^\dagger D(\alpha) = a^\dagger + \alpha^*. \quad (3.46)$$

This identity is useful to show that the field quadrature fluctuations in a coherent state are those of the vacuum state.

The displacement operators provide a mapping from the complex numbers into unitary operators on the single-mode Hilbert space. Complex numbers can be added, and operators be applied sequentially. So how do the two operations compare? The answer lies in the equation

$$D(\alpha)D(\beta) = e^{i\text{Im}(\alpha\beta^*)}D(\alpha + \beta) \quad (3.47)$$

that can be easily proven with the Baker-Campbell-Hausdorff formula (footnote 3). If the phase factor were not there, this equation would make the mapping  $\alpha \mapsto \hat{D}(\alpha)$  a *representation (Darstellung)* of the additive group in  $\mathbb{C}$  in the space of unitary operators  $\mathcal{U}(\mathcal{H})$  over the (infinite-dimensional) Hilbert space  $\mathcal{H}$  of the single mode: either one applies the displacement operators one after the other (left-hand side) or one adds the complex numbers and applies a single displacement (right-hand side), one gets the same result.

Now, there is a phase factor, involving  $\text{Im}(\alpha\beta^*)$ . The mapping  $\alpha \mapsto \hat{D}(\alpha)$  is then not a (‘proper’) representation, but only a *projective representation*. This must be so because the additive group in  $\mathbb{C}$  is finite-dimensional and commutative, while the unitary operators  $D(\alpha)$  form a non-commutative and infinite-dimensional group. And more precisely, the *generators* of the two groups do not have the same algebra (a Lie algebra formed by their commutators). For the additive group and its action on  $\mathcal{C}$  itself, the generators can be taken as unit vectors parallel to the  $x$  and  $p$  axes. The addition of these vectors is, of course, commutative. For the ‘image’ formed by the  $D(\alpha)$ , acting on the Hilbert space of state vectors, the corresponding generators are (expand for small  $\alpha = x + ip$  with real parameters  $x$  and  $p$ )

$$D(\alpha) \approx \mathbb{1} + x(a^\dagger - a) + ip(a^\dagger + a) \quad (3.48)$$

so we identify the generators  $(a^\dagger - a)/i$  and  $(a^\dagger + a)$  whose commutator is twice  $i\mathbb{1}$ . (One likes to choose hermitean generators, this explains the factors  $i$ . The

commutator is hermitean after multiplication with  $i$  as well.) This means that the group structure is fundamentally different: the algebra spanned by the generators does not close, and a proper representation is not possible. In fact, the additional phase factor that appears in the formula for the projective representation can be understood by enlarging the Lie algebra (and the group) to include also the unit operator.

To conclude, the phase factor appearing in Eq.(3.47) could be argued to have no physical significance: after all, changing a state vector by a ('global') phase does not change the quantum-mechanical predictions. But if a superposition can be constructed where the phase appears only in one term, then the phase becomes observable. A typical example is the 'geometric Berry phase'. We are not aware whether there is a link between this concept and the projective phase for the displacement operators.

### 3.1.6 Squeezed states

You should have got the feeling up to now that the quantized field essentially differs from a classical field by its ('quantum') fluctuations. So people have thought whether it is possible to reduce the quantum noise in a field quadrature to get something even 'more classical' – or having less noise. This can be achieved in part, to 50%, say. Of course, one cannot beat the Heisenberg inequality, and the reduced fluctuations in one quadrature have to be paid by enhanced noise in the other one.

In the exercises, you are asked to show that the following operator

$$S(\xi) = \exp(\xi a^{\dagger 2} - \xi^* a^2) \quad (3.49)$$

'squeezes' the fluctuations of the vacuum state such that one quadrature component (fixed by the phase of the complex number  $\xi$ ) has less quantum noise. Note that a 'general quadrature' variable may be defined by

$$X_\theta = \frac{a e^{i\theta} + a^\dagger e^{-i\theta}}{\sqrt{2}} \quad (3.50)$$

Two 'orthogonal' quadratures are then given by  $X_\theta$  and  $X_{\theta+\pi/2}$ . The usual position and momentum quadratures correspond to  $\theta = 0, \pi/2$ . In the state  $S(\xi)|0\rangle$  with  $\xi = |\xi| e^{-2i\theta}$ , the uncertainties of the quadratures are

$$\Delta X_\theta^2 = \frac{e^{2|\xi|}}{2}, \quad \Delta X_{\theta+\pi/2}^2 = \frac{e^{-2|\xi|}}{2}, \quad (3.51)$$

their product being unchanged.

Note also that the ‘squeezed vacuum’  $S(\xi)|0\rangle$ , when expanded in the Fock basis, contains only even photon number states:

$$S(\xi)|0\rangle = \sum_{m=0}^{\infty} c_{2m}|2m\rangle. \quad (3.52)$$

This is because the squeezing operator (3.49) only contains evens powers of the creation operator.

Exercise: compute the coefficients  $c_{2m}$ . Answer (details may be wrong):

$$c_{2m} = N \left( \frac{(2m-1)!!}{(2m)!!} \right)^{1/2} e^{-2im\phi} \tanh^m r$$

where  $N$  is a normalization,  $\xi = (r/2)e^{2i\phi}$ , and  $n!!$  is the product  $n(n-2)\cdots$  of all numbers with the same parity up to  $n$ .

**Squeezing from a nonlinear medium.** The ‘squeezing’ operator (3.49) can be realized with the interaction Hamiltonian

$$H_{\text{int}} = i\hbar \left( g e^{-2i\omega t} a^{\dagger 2} - g^* e^{2i\omega t} a^2 \right) \quad (3.53)$$

with the squeezing parameter given by  $\xi = \int dt g(t)$ . This interaction occurs in nonlinear optics. To get a qualitative understanding, imagine a medium with a field-dependent dielectric constant (‘ $\chi^{(2)}$  nonlinearity’). This is usually forbidden for symmetry reasons, but it happens in some special cases. In the electromagnetic energy density, one has

$$u = \frac{\varepsilon(|\mathbf{E}|)}{2} \mathbf{E}^2 + \frac{1}{2\mu_0} \mathbf{B}^2 \quad (3.54)$$

where the linearization

$$\varepsilon(|\mathbf{E}|) = \varepsilon_0 (1 + n_2 |\mathbf{E}|^2) \approx \varepsilon_0 (1 + 2n_2 |\mathbf{E}|)$$

is often appropriate. In the quantum picture, this gives a contribution to the Hamiltonian with a term of third order in the field:

$$H_3 = \varepsilon_0 n_2 \int_V d^3x |\mathbf{E}(\mathbf{x}, t)|^3 \quad (3.55)$$

Let us now pick out two spatial modes of the field and put one of it into a coherent state  $|\alpha e^{-i\omega_p t}\rangle$  with a ‘large’ amplitude  $|\alpha| \gg 1$ . The index ‘p’ is for

‘pump field’. Let us call the other mode (the ‘quantum’ one) the ‘signal’. The electric field is then

$$\mathbf{E}(\mathbf{x}, t) = E_p a_p \boldsymbol{\varepsilon}_p e^{-i(\omega_p t - \mathbf{k}_p \cdot \mathbf{x})} + E_1 \boldsymbol{\varepsilon} a(t) e^{i\mathbf{k} \cdot \mathbf{x}} + \text{h.c.} \quad (3.56)$$

The interaction Hamiltonian thus generates cross terms of the form<sup>4</sup>

$$H_{\text{int}} = \dots + \hbar \left( g e^{-i\omega_p t} a_p a^{\dagger 2} + g^* e^{i\omega_p t} a_p^\dagger a^2 \right) \quad (3.57)$$

$$\hbar g = 3\varepsilon_0 n_2 E_p E_1 \boldsymbol{\varepsilon}_p \cdot \boldsymbol{\varepsilon}^* \int_V d^3x e^{i(\mathbf{k}_p - 2\mathbf{k}) \cdot \mathbf{x}} \quad (3.58)$$

One often ignores the quantum fluctuations of the pump mode and replaces its annihilation operator  $a_p$  by the coherent state amplitude  $\alpha$ . The interaction (3.57) then looks quite like our model Hamiltonian (3.53).

The nonlinear squeezing parameter  $g\alpha$  is nonzero when the pump and signal modes are ‘phase matched’, i.e.,  $\mathbf{k}_p = 2\mathbf{k}$ . For collinear modes, this is achieved by taking  $\omega_p = 2\omega$ . The spatial integral actually runs only over the region where the nonlinear index  $n_2$  is different from zero. We also see from (3.57) that one ‘pump photon’ with energy  $\hbar\omega_p = 2\hbar\omega$  can ‘decay’ into a pair of signal photons. We already anticipated this behaviour in the number state expansion (3.52).

## 3.2 Two modes, many modes

### 3.2.1 Multi-mode Hilbert space and observables

The state space of a two-mode field is the tensor product of the Fock spaces of two harmonic oscillators. In terms of number states, the basis vectors of this space can be written

$$|n_1; n_2\rangle = |n_1\rangle_{\text{mode 1}} \otimes |n_2\rangle_{\text{mode 2}}$$

where the first mode contains  $n_1$  and the second mode  $n_2$  photons. These states are called ‘product states’. That have expectation values of products of operators pertaining to mode 1 and 2, that factorize, e.g.,

$$\langle \hat{n}_1 \hat{n}_2 \rangle = \langle \hat{n}_1 \rangle \langle \hat{n}_2 \rangle.$$

---

<sup>4</sup>We are actually cheating with the polarization vector  $\boldsymbol{\varepsilon}$ . An accurate description replaces  $n_2$  by a third-rank tensor that produces a scalar out of three vectors.

But due to the possibility of forming superpositions, there is much more ‘space’ in the multi-mode Hilbert space. For example, it is possible that two modes ‘share’ a single photon:

$$\frac{1}{\sqrt{2}}(|0; 1\rangle + |1; 0\rangle) \quad (3.59)$$

This state is called ‘entangled’ if no change of basis for the mode expansion exists such that the state is mapped onto a product state (this may be very difficult to check in practice).<sup>5</sup> The state is by no means unphysical, however, since it is generated by

$$\frac{1}{\sqrt{2}}(a_1^\dagger + a_2^\dagger)|0; 0\rangle \quad (3.60)$$

where  $|0; 0\rangle$  is the two-mode vacuum. Such sums of creation operators occur always in the mode expansion of the quantized field. The decay of an excited atomic state, for example, generates a continuous superposition of one-photon states where an infinite number of modes share a single photon.

Many-mode single-photon states are also generated when an atom is illuminated by a single photon: the scattering of this photon by the atom generates, as in the classical electromagnetic theory, a continuous angular distribution of modes with a nonzero amplitude for one-photon excitations.

Finally, what about the density matrix for a multi-mode field? Let us start with the simple case of two modes of the same frequency in thermal equilibrium. According to the general rule, the density matrix is a sum of projectors onto the stationary states  $|n_1; n_2\rangle$  of the two-mode system, each weighted with a probability proportional to  $e^{-\beta(n_1+n_2)}$ . (Use  $\beta = \hbar\omega/k_B T$ .) Since the energy is made additively from single-mode energies, we can factorize this density operator:

$$\begin{aligned} \hat{\rho} &= Z^{-1} \sum_{n_1, n_2} e^{-\beta(n_1+n_2)} |n_1; n_2\rangle\langle n_1; n_2| \\ &= Z \sum_{n_1} e^{-\beta n_1} |n_1\rangle\langle n_1| \otimes \sum_{n_2} e^{-\beta n_2} |n_2\rangle\langle n_2| \\ &= Z^{-1} \tilde{\rho}_1 \otimes \tilde{\rho}_2 \end{aligned} \quad (3.61)$$

where the  $\tilde{\rho}_{1,2}$  are un-normalized density matrices. The tensor product of the projectors is defined by coming back to the tensor product of states

$$|n_1\rangle\langle n_1| \otimes |n_2\rangle\langle n_2| = (|n_1\rangle \otimes |n_2\rangle) (\langle n_1| \otimes \langle n_2|).$$

---

<sup>5</sup>It is simple to see, however, that the expectation value of  $\hat{n}_1\hat{n}_2$  does not factorize. Indeed,  $\langle \hat{n}_1 \rangle = \frac{1}{2} = \langle \hat{n}_2 \rangle$  while  $\langle \hat{n}_1\hat{n}_2 \rangle = 0$  since in each component of the state (3.59), at least one mode has zero photons.

The trace of the two-mode density matrix (3.61) also factorizes because the matrix elements of a tensor product operator are, by definition, the products of the individual matrix elements

$$\begin{aligned}
\text{tr}(\hat{\rho}) &= Z^{-1} \sum_{n_1, n_2} \langle n_1; n_2 | \tilde{\rho}_1 \otimes \tilde{\rho}_2 | n_1; n_2 \rangle \\
&= Z^{-1} \sum_{n_1, n_2} \langle n_1 | \tilde{\rho}_1 | n_1 \rangle \langle n_2 | \tilde{\rho}_2 | n_2 \rangle \\
&= Z^{-1} (\text{tr} \tilde{\rho}_1) (\text{tr} \tilde{\rho}_2)
\end{aligned} \tag{3.62}$$

and therefore  $Z = Z_1 Z_2 = (1 - e^{-\beta})^{-2}$ .

Since the density matrix of this thermal two-mode state factorizes, this state is not entangled (averages of products of single-mode operators factorize). This is no longer true, however, if we allow for an interaction between the modes. Then the energy is no longer a sum of single-mode energies, and the previous factorization does no longer work. This is by the way a general rule: interactions between quantum systems lead to entangled states. For this reason, entangled states are much more frequent in Nature than are factorized states. It is a nontrivial task, however, to decide whether a given density matrix describes an entangled state or not.

### Digression (*Einschub*): tensor product states and operators

It is somewhat tricky to guess the right formulas for multimode field states and operators. The general rule is the following:

$$\begin{array}{ll}
\text{Field operator} & \leftrightarrow \text{sum of modes} \\
\text{Field state} & \leftrightarrow \text{product of modes}
\end{array}$$

For example, the electric field operator for a two-mode field is given by

$$\mathbf{E}(\mathbf{x}, t) = E_1 \boldsymbol{\varepsilon}_1 a_1(t) e^{i\mathbf{k}_1 \cdot \mathbf{x}} + E_2 \boldsymbol{\varepsilon}_2 a_2(t) e^{i\mathbf{k}_2 \cdot \mathbf{x}} + \text{h.c.}$$

while a typical state is for example the product state  $|n_1; n_2\rangle = |n_1\rangle \otimes |n_2\rangle$ . The general rule gets complicated (1) when we allow for superpositions (sums) of product states and (2) when we consider measurements that involve products of different mode operators.

In calculations, one often needs products of operators, like  $\mathbf{E}^2(\mathbf{x}, t)$ . These are computed in the usual way, one has just to take care that operators sometimes do

not commute. But this is only relevant for operators acting on the same mode,  $[a_1, a_1^\dagger] = 1$ , while for different modes

$$[a_1, a_2^\dagger] = 0$$

because they correspond to independent degrees of freedom.

**Operator averages in product states.** Let us consider the average electric field for the two-mode case written above. Using the mode expansion, we find terms like  $\langle a_i(t) \rangle$  ( $i = 1, 2$ ) and their adjoints. Now the operator  $a_1|\psi\rangle$  is evaluated by letting  $a_1$  act on the first factor of a product state:

$$a_1|n_1; n_2\rangle = (a_1|n_1\rangle) \otimes |n_2\rangle$$

If  $|\psi\rangle$  is a sum of product states (entangled state), then this procedure is done for every term in this sum. Sometimes this is formalized by writing the operator as  $a_1 \otimes \mathbb{1}$ , thus indicating that for the second mode nothing happens. The action of such operator tensor products is apparently defined as

$$A_1 \otimes B_2|n_1; n_2\rangle = A_1|n_1\rangle \otimes B_2|n_2\rangle \quad (3.63)$$

by letting each operator factor act on the respective state factor. This notation allows to avoid the subscripts 1 and 2 as the relevant mode is indicated by the position in the operator product.

Similarly, the scalar product of tensor products of states is defined by

$$\langle n_1; n_2|m_1; m_2\rangle = \langle n_1| \otimes \langle n_2|m_1\rangle \otimes |m_2\rangle = \langle n_1|m_1\rangle \langle n_2|m_2\rangle$$

by taking the scalar product of the corresponding factors.

The average of the electric field for a product of number states is thus zero, as for a single-mode field, because  $\langle n|an\rangle = 0$ , and this is true for both modes. What about a product state of two coherent states,  $|\psi\rangle = |\alpha; \beta\rangle$ ? It is simple to see that we get the classical result (we assume that both modes have the same frequency  $\omega$ )

$$\langle \mathbf{E}(\mathbf{x}, t) \rangle = E_1 \boldsymbol{\epsilon}_1 \alpha e^{-i\omega t + i\mathbf{k}_1 \cdot \mathbf{x}} + E_2 \boldsymbol{\epsilon}_2 \beta e^{-i\omega t + i\mathbf{k}_2 \cdot \mathbf{x}} + \text{c.c.} \quad (3.64)$$

(Note that ‘c.c.’ and not ‘h.c.’ occurs.) As a general rule, classical fields can be described by tensor products of coherent states.

Last example where we go quantum: a superposition of coherent product states,

$$|\psi\rangle = c|\alpha; \beta\rangle + d|\beta; \alpha\rangle$$

with some complex amplitudes  $c, d$ . Then we find

$$\langle a_1 \rangle = |c|^2\alpha + |d|^2\beta$$

if  $\langle \alpha|\beta\rangle = 0$ . (This is actually never exactly the case, but can be achieved to a very good precision if  $|\alpha - \beta| \gg 1$ .) This result is an average over the two possible coherent amplitude, weighted with the corresponding probabilities. The average field thus becomes:

$$\langle \mathbf{E}(\mathbf{x}, t) \rangle = E_1 \boldsymbol{\varepsilon}_1 \left( |c|^2\alpha + |d|^2\beta \right) e^{-i\omega t + i\mathbf{k}_1 \cdot \mathbf{x}} + E_2 \boldsymbol{\varepsilon}_2 \left( |c|^2\beta + |d|^2\alpha \right) e^{-i\omega t + i\mathbf{k}_2 \cdot \mathbf{x}} + \text{c.c.}$$

**Question:** this result does not allow to distinguish this state from an ‘incoherent mixture’ of coherent product states like in (3.64), each state occurring with a probability  $|c|^2, |d|^2$ . This mixture would be described by the density operator

$$\hat{\rho}_{\text{mix}} = |c|^2 |\alpha; \beta\rangle \langle \alpha; \beta| + |d|^2 |\beta; \alpha\rangle \langle \beta; \alpha|$$

and gives the same average electric field (exercise). If the coherent amplitudes  $\alpha, \beta$  are closer together, then due to the nonzero overlap  $\langle \alpha|\beta\rangle$ , one can distinguish superposition and mixture (exercise). Are there observables that can make the difference in the case  $\langle \alpha|\beta\rangle = 0$ ?

**Average of single-mode operator.** Let us calculate as another example the average photon number in mode 1 for a two-mode field in the entangled state (3.59). The relevant photon number operator is given by  $a_1^\dagger a_1$  or, to be more precise,  $a_1^\dagger a_1 \otimes \mathbb{1}$ . Its action on the entangled state is worked out using linearity and the operator product rule (3.63)

$$\begin{aligned} & \frac{1}{\sqrt{2}} a_1^\dagger a_1 \otimes \mathbb{1} (|0; 1\rangle + |1; 0\rangle) \\ &= \frac{1}{\sqrt{2}} \left( a_1^\dagger a_1 |0\rangle \otimes |1\rangle + a_1^\dagger a_1 |1\rangle \otimes |0\rangle \right) \\ &= \frac{1}{\sqrt{2}} |1\rangle \otimes |0\rangle = \frac{1}{\sqrt{2}} |1; 0\rangle \end{aligned}$$

Taking the scalar product with the original state, we find

$$\langle \hat{n}_1 \rangle = \frac{1}{2} (\langle 0; 1| + \langle 1; 0|) |1; 0\rangle = \frac{1}{2}.$$

Once you have done this calculation, you can use the shorter rule: all we need are the probabilities of having  $n_1 = 0, 1, \dots$  photons in mode 1. For this, collect all product states in the state with the same number of photons  $n_1$  and compute the squared norm of these states. From the probabilities for  $n_1$  photons, you get the average photon number.

**Product operators.** As a second example, let us compute the average value of the product  $a_i^\dagger a_j$  ( $i, j = 1, 2$ ) in a thermal two-mode state. This object occurs when you measure the two-mode field with a photodetector (see paragraph ?? below). The tensor product notation is more cumbersome here and gives

$$a_1^\dagger a_1 \otimes \mathbb{1} \quad \text{or} \quad \mathbb{1} \otimes a_2^\dagger a_2 \quad \text{or} \quad a_1^\dagger \otimes a_2 \quad \text{or} \quad a_1 \otimes a_2^\dagger.$$

The density matrix is a tensor product of thermal single-mode density matrices. We shall see that the result is:

$$\langle a_i^\dagger a_j \rangle_T = \delta_{ij} \bar{n}(T) \quad (3.65)$$

where  $\bar{n}(T)$  is the average photon number in a single mode. How does this come about?

When  $i = j$ , we are left with the calculation of the average photon number for a single mode:

$$\langle a_i^\dagger a_i \rangle = \sum_{n_1, n_2} \langle n_1; n_2 | a_i^\dagger a_i \hat{\rho}_1 \otimes \hat{\rho}_2 | n_1; n_2 \rangle$$

The action of the product density operators factorizes:

$$\hat{\rho}_1 \otimes \hat{\rho}_2 | n_1; n_2 \rangle = \hat{\rho}_1 | n_1 \rangle \otimes \hat{\rho}_2 | n_2 \rangle$$

Each single-mode density operator, acting on a number state, gives the corresponding occupation probability:

$$\hat{\rho}_1 | n_1 \rangle = \sum_{m_1} p_{m_1}(T) | m_1 \rangle \langle m_1 | n_1 \rangle = p_{n_1}(T) | n_1 \rangle,$$

so that we have, using the result for the photon number of one mode

$$\begin{aligned} \langle a_i^\dagger a_i \rangle &= \sum_{n_1, n_2} p_{n_1}(T) p_{n_2}(T) \langle n_1; n_2 | a_i^\dagger a_i | n_1; n_2 \rangle \\ &= \sum_{n_1, n_2} p_{n_1}(T) p_{n_2}(T) n_i \\ &= \sum_{n_i} p_{n_i}(T) n_i \sum_{n_j} p_{n_j}(T) \end{aligned}$$

In the last step, we have noted that the double sum can be factorized ( $j \neq i$  is the other index). The second sum gives unity because the probabilities are normalized, the first sum gives the average photon number  $\bar{n}(T)$  at temperature  $T$  and does no longer depend on the mode label (this is because we assumed equal frequencies for both modes). This completes the proof in the case  $i = j$ .

A similar calculation shows that the average of  $a_1^\dagger a_2$  vanishes: indeed, we have

$$\langle n_1; n_2 | a_1^\dagger a_2 | n_1; n_2 \rangle = \langle n_1 | a_1^\dagger | n_1 \rangle \langle n_2 | a_2 | n_2 \rangle = 0.$$

### 3.2.2 Quantum theory of the beam splitter

A beamsplitter is the most simple way to mix two modes, see Figure 3.2. From

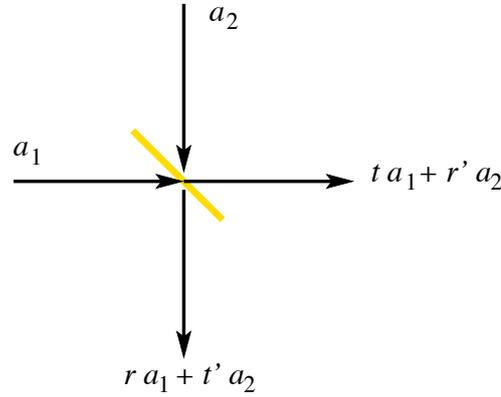


Figure 3.2: Mixing of two modes by a beam splitter.

classical electrodynamics, one gets the following amplitudes for the outgoing modes:

$$\begin{pmatrix} a_1 \\ a_2 \end{pmatrix}^{\text{in}} \mapsto \begin{pmatrix} a_1 \\ a_2 \end{pmatrix}^{\text{out}} = \begin{pmatrix} t & r \\ r' & t' \end{pmatrix} \begin{pmatrix} a_1 \\ a_2 \end{pmatrix}^{\text{in}}. \quad (3.66)$$

The recipe for quantization is now: ‘replace the classical amplitudes by annihilation operators’. If the outgoing modes are still to be useful for the quantum theory, they have to satisfy the commutation relations:

$$[a_i(\text{out}), a_j^\dagger(\text{out})] = \delta_{ij}. \quad (3.67)$$

These conditions give constraints on the reflection and transmission amplitudes, for example  $|t|^2 + |r|^2 = 1$ . This is *not* identical to energy conservation for the

incoming mode  $a_1(\text{in})$ . But a sufficient condition is that the classical ‘reciprocity relation’ (*Umkehrung des Strahlengangs*) holds:  $t = t'$ .

We are now looking for a unitary operator  $U$  that implements this beamsplitter transformation in the following sense:

$$a'_i = U^\dagger a_i U, \quad i = 1, 2 \quad (3.68)$$

From this operator, we can also compute the transformation of the states:  $|\text{out}\rangle = U|\text{in}\rangle$ . Let us start from the general transformation

$$a_i \mapsto A_i = S_{ij} a_j \quad \text{or} \quad \vec{a} \mapsto \vec{A} = S \vec{a} \quad (3.69)$$

where we have introduced matrix and vector notation. For the unitary transformation, we make the *Ansatz*

$$U(\tau) = \exp\left(i\theta B_{jk} a_j^\dagger a_k\right) \quad (3.70)$$

with  $B_{jk}$  a hermitean matrix (ensuring unitarity). The action of this unitary on the photon mode operators is now required to reduce to

$$a_i \mapsto A_i(\theta) \equiv U^\dagger(\theta) a_i U(\theta) \stackrel{!}{=} S_{ij} a_j. \quad (3.71)$$

We compute this ‘conjugated operator’ with the usual trick via a differential equation:

$$\frac{d}{d\theta} A_i(\theta) = -i B_{jk} U^\dagger(\theta) [a_j^\dagger a_k, a_i] U(\theta) \quad (3.72)$$

$$= -i B_{jk} U^\dagger(\theta) (-\delta_{ij} a_k) U(\theta) \quad (3.73)$$

$$= i B_{ik} A_k(\theta). \quad (3.74)$$

This is a system of linear differential equations with constant coefficients, so that we get as solution

$$\vec{A}(\theta) = \exp(i\theta B) \vec{A}(0) = \exp(i\theta B) \vec{a}. \quad (3.75)$$

We thus conclude that the matrix  $B$  is fixed by

$$S = \exp(i\theta B). \quad (3.76)$$

If the transformation  $S$  is part of a continuous group and depends on a parameter  $\epsilon$ , we can expand it around unity. Doing the same for the matrix exponential, we get

$$\begin{aligned} S &\approx \mathbb{1} + i\epsilon T + \dots \\ \exp(i\theta B) &\approx \mathbb{1} + i\theta B + \dots \\ \theta B &= \epsilon T. \end{aligned} \quad (3.77)$$

The unitary transformation is thus determined via the *generator* of the mode transformation. More precisely, the unitary  $U(\epsilon) = \exp(i\epsilon B_{jk} a_j^\dagger a_k)$  implements the ‘one-parameter subgroup’ of mode transformations  $S(\epsilon)$  that is the solution of the differential equation

$$\frac{d}{d\epsilon} S(\epsilon) = iT S(\epsilon).$$

A formal solution can be written as  $S(\epsilon) = \exp(i\epsilon T) S(0)$  so that with Eq.(3.76), we find indeed  $\theta B = \epsilon T$ .

For the two-mode beam splitter, an admissible transformation is given by

$$S = \begin{pmatrix} t & r \\ r' & t' \end{pmatrix} = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix}. \quad (3.78)$$

Its generator is given by, for small  $\theta$ ,

$$T = \theta \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} = \theta \sigma_2 \quad (3.79)$$

so that the corresponding unitary operator reads

$$U(\theta) = \exp [i\theta(-ia_1^\dagger a_2 + ia_2^\dagger a_1)] = \exp [\theta(a_1^\dagger a_2 - a_2^\dagger a_1)]. \quad (3.80)$$

The value of the parameter  $\theta$  can be fixed via the identity

$$\exp(i\theta \sigma_2) = \cos \theta + i\sigma_2 \sin \theta = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix}, \quad (3.81)$$

so that  $\theta$  is indeed the rotation angle for a general (not infinitesimal) transformation.

### Example: splitting a single photon state

Finally, compute the state of the two-mode system if one photon is incident in mode 1 on the beam splitter: initial state  $|\text{in}\rangle = |1, 0\rangle = a_1^\dagger |0, 0\rangle$ . The final state is then, using Eq.(3.80) for small  $\theta$

$$|\text{out}\rangle = U(\theta)|1, 0\rangle \approx |1, 0\rangle + \theta(a_1^\dagger a_2 - a_2^\dagger a_1)|1, 0\rangle \quad (3.82)$$

$$= |1, 0\rangle - \theta|0, 1\rangle. \quad (3.83)$$

For finite  $\theta$ , the higher powers also contribute. The calculation gets easy with the beam splitter transformation of the creation operators.

$$|\text{out}\rangle = U(\theta)a_1^\dagger|0,0\rangle \quad (3.84)$$

$$\stackrel{(1)}{=} U(\theta)a_1^\dagger U^\dagger(\theta)|0,0\rangle \quad (3.85)$$

$$\stackrel{(2)}{=} (a_1^\dagger \cos\theta - a_2^\dagger \sin\theta)|0,0\rangle \quad (3.86)$$

$$= \cos\theta|1,0\rangle - \sin\theta|0,1\rangle \quad (3.87)$$

In step (1), we have used that the unitary operator leaves the vacuum state unchanged. (This is because we have written the exponent in normal order.) In step (2), we have used that  $U^\dagger(\theta)$  implements the transformation inverse to  $U(\theta)$ . Re-introducing the transmission amplitudes, we find

$$|1,0\rangle \mapsto t|1,0\rangle + r|0,1\rangle \quad (3.88)$$

so that the probability amplitudes to find the photon in either output mode correspond exactly, for this incident one-photon state, to the classical transmission and reflection amplitudes.

It is quite complicated to show in the same way the following property of a ‘bi-coherent state’

$$U|\alpha,\beta\rangle = |\alpha',\beta'\rangle, \quad \begin{pmatrix} \alpha' \\ \beta' \end{pmatrix} = \mathbb{S} \begin{pmatrix} \alpha \\ \beta \end{pmatrix} \quad (3.89)$$

that remains bi-coherent after the beam splitter. But the proof is quite simple with the unitary transformation of the mode operators.

### Example: splitting a two-photon state

Two-photon states do not behave as ‘intuitively’. Let us consider two single-photon states incident on the same beam splitter as before,  $|\text{in}\rangle = |1,1\rangle$ . Then, by the same trick,

$$\begin{aligned} |\text{out}\rangle &= U|\text{in}\rangle = Ua_1^\dagger U^\dagger Ua_2^\dagger U^\dagger|0,0\rangle \\ &= (a_1^\dagger \cos\theta - a_2^\dagger \sin\theta)(a_2^\dagger \cos\theta + a_1^\dagger \sin\theta)|0,0\rangle \\ &= (|2,0\rangle - |0,2\rangle) \frac{\sin 2\theta}{2} + |1,1\rangle \cos 2\theta \end{aligned} \quad (3.90)$$

Hence, for a 50/50 beam splitter ( $\cos\theta = \sin\theta$  or  $\theta = 45^\circ$ ), the last term cancels and the photons are transmitted in ‘bunches’: they come out together at either output port.

# Chapter 4

## Cavity QED

In this chapter, we provide a few examples of the interaction of the quantized radiation field to matter. The word ‘cavity QED’ has been invented for this sub-field of quantum electrodynamics where the relevant degrees of freedom of the radiation field can be reduced to a one or a few modes. This happens experimentally in resonators (‘cavities’) that provide confinement for photons.

The simplest model system in this context is a single two-level atom and one mode of the radiation field. This is what we are going to discuss first.

### 4.1 Jaynes–Cummings–Paul model

After Jaynes and Cummings who introduced this model Hamiltonian in the 1970s and Harry Paul (HU Berlin) who used it to study ‘non-classical states’ of the radiation field.

So far, we have worked out the dynamics of an atom coupled to a continuum of quantized field modes. We now consider the opposite case where a single field mode dominates the atom-field interaction. This is part of the domain of ‘cavity QED’. The name is chosen because a single field mode can be isolated experimentally using cavities with highly reflecting mirrors. In practice, even a cavity sustains many modes (with discrete frequencies, however). We again have to invoke a resonance approximation to single out one mode (that is closest in frequency to an atomic resonance).

### 4.1.1 Hamiltonian

The Hamiltonian for a two-level atom coupled to a single mode has the following simple form

$$H = \frac{\hbar\omega_A}{2}\sigma_3 + \hbar\omega a^\dagger a + \hbar g (a^\dagger\sigma + \sigma^\dagger a) \quad (4.1)$$

it is called the Jaynes–Cummings–Paul model<sup>1</sup>. The first term is the energy of the atom with Bohr frequency  $\omega_A$ , the second term the cavity mode energy with the zero-point energy subtracted, the third term the coupling between the two, characterized by a single coupling constant  $g$ . In typical experimental setups,  $g = g(t)$  is time-dependent and describes how an atom moves spatially in and out of the cavity mode function.

### 4.1.2 Energy spectrum

Let us first discuss the energy levels of the Hamiltonian (4.1). We note that the following observable, the ‘excitation’  $\hat{N}$ , is a conserved quantity:

$$\hat{N} = \sigma^\dagger\sigma + a^\dagger a = \frac{1}{2} + \frac{1}{2}\sigma_3 + a^\dagger a \quad (4.2)$$

It is easy to see that  $\hat{N}$  commutes with the Hamiltonian  $H$ . We can therefore write the Hamiltonian in the form (switch to a ‘rotating frame’)  $H = \hbar\omega(\hat{N} - \frac{1}{2}) + H_{\text{JCP}}$  and confine our study to

$$H_{\text{JCP}} = -\frac{\hbar\Delta}{2}\sigma_3 + \hbar g (a^\dagger\sigma + \sigma^\dagger a), \quad \Delta = \omega - \omega_A \quad (4.3)$$

where  $\Delta$  is the detuning (Paris notation).

The eigenvalues  $n$  of  $N$  are non-negative integers. The lowest value  $n = 0$  corresponds to the ‘absolute ground state’  $|g, 0\rangle$  with the atom in the ground state and zero photons. The other states come in pairs: indeed, the subspace spanned by

$$\{|e, n-1\rangle, |g, n\rangle\} \quad (4.4)$$

is invariant under the Hamiltonian. To show this, one has to check that

$$\begin{aligned} (a^\dagger\sigma + \sigma^\dagger a)|e, n-1\rangle &= \sqrt{n}|g, n\rangle \\ (a^\dagger\sigma + \sigma^\dagger a)|g, n\rangle &= \sqrt{n}|e, n-1\rangle \end{aligned} \quad (4.5)$$

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<sup>1</sup>Harry Paul, for many years leader of the group on non-classical radiation at Humboldt Universität zu Berlin, (East) Germany.

where only one of the two terms contributes in both cases. In this subspace, the Hamiltonian is hence represented by the  $2 \times 2$  matrix

$$H_n = \begin{pmatrix} -\frac{1}{2}\hbar\Delta & \hbar g\sqrt{n} \\ \hbar g\sqrt{n} & \frac{1}{2}\hbar\Delta \end{pmatrix} \quad (4.6)$$

whose eigenvalues and eigenvectors are easily computed to be

$$E_{n\pm} = \pm \frac{\hbar}{2} \sqrt{\Delta^2 + 4g^2n} \quad (4.7)$$

$$|n, +\rangle = \cos \theta_n |g, n\rangle + \sin \theta_n |e, n-1\rangle \quad (4.8)$$

$$|n, -\rangle = -\sin \theta_n |g, n\rangle + \cos \theta_n |e, n-1\rangle \quad (4.9)$$

where the angle  $\theta_n$  is defined by the relations

$$\sin 2\theta_n = \frac{2g\sqrt{n}}{\sqrt{\Delta^2 + 4g^2n}}, \quad \cos 2\theta_n = \frac{\Delta}{\sqrt{\Delta^2 + 4g^2n}},$$

(No guarantee for the signs.) These states are commonly called ‘dressed states’, ‘*états habillés*’ by C. Cohen-Tannoudji (Paris), and ‘*beleuchtete Zustände*’ by XX. Albrecht (Hamburg). They illustrate indeed how the ground state atom and a photon are ‘mixed’ by the atom-field interaction: a stationary state is a superposition of the two.

The energy splitting between the dressed states  $|n, \pm\rangle$  (on resonance) is the ‘Rabi splitting’  $g\sqrt{n}$ . Recall that this splitting was  $|\Omega|$  for a classical laser field, proportional to the field amplitude. This is mimicked by the scaling with  $\sqrt{n}$  since the photon number  $n$  is proportional to the field intensity. A special role plays the state  $|g, 0\rangle$ : it has no partner with the atom excited and gives the ground state of the JCP-model.

### 4.1.3 Dynamics

Let us now consider as initial state the atom in the ground state and  $n$  photons in the cavity mode,  $|\psi(0)\rangle = |g, n\rangle$ . We are asking for the time evolution of this state,  $|\psi(t)\rangle$ . We can express the initial state in terms of the eigenstates of the Jaynes-Cummings Hamiltonian. Since these states are stationary states, we can immediately deduce the time-dependent state

$$|\psi(t)\rangle = e^{-i\omega_n t/2} \cos \theta_n |n, +\rangle - e^{i\omega_n t/2} \sin \theta_n |n, -\rangle \quad (4.10)$$

where

$$\omega_n = \sqrt{\Delta^2 + 4g^2n}. \quad (4.11)$$

The important point in this result is the frequency difference  $\omega_n$  between the two dressed states in this superposition state.

Let us compute as for the classical Rabi oscillations the probability of finding the atom in the ground state. Since in the two-dimensional Hilbert subspace where the dynamics happens, there is only a single atom+field state  $|g, n\rangle$  where the atom is not excited, we find

$$\begin{aligned} p_g^{(n)}(t) &= |\langle g; n | \psi(t) \rangle|^2 \\ &= \left| \cos^2 \theta_n e^{-i\omega_n t/2} + \sin^2 \theta_n e^{i\omega_n t/2} \right|^2 \\ &= |\cos(\omega_n t/2) - i \sin(\omega_n t/2) \cos 2\theta_n|^2 \\ &= \cos^2(\omega_n t/2) + \sin^2(\omega_n t/2) \cos^2 2\theta_n \\ &= 1 - (1 - \cos^2 2\theta_n) \sin^2(\omega_n t/2) \end{aligned}$$

We observe that the ground state population oscillates at the Rabi frequency  $\omega_n/2$ . At  $t = 0$ ,  $p_g^{(n)} = 1$  as obvious for the initial state. The smallest probability we find is  $\cos^2 2\theta_n = (\Delta/\omega_n)^2$ , that is reached for  $\omega_n t = 2\pi$ . At resonance, the Rabi oscillations have a 100% modulation amplitudes. The photon number only enters via the scaling of the coupling: the frequency  $g\sqrt{n}$  plays the role of the classical Rabi frequency.

Note that if we start with a one-photon field at resonance, we reach the state  $|e; 0\rangle$  after some interaction time. We could therefore also take the atom in the excited state and the field in the vacuum state – and find nevertheless Rabi oscillations. This is impossible in the classical theory. One says sometimes that the vacuum fluctuations ‘stimulate’ the atom to emit a photon. This argument lies at the heart of the interpretation of spontaneous emission in terms of the interaction with the quantized radiation field. The typical exponential decay of the excited state, is, however, impossible to describe in this simple single-mode model. One needs a mode continuum for that (see Section 2.5 and the second part of the lecture).

#### 4.1.4 Collapse and revival

In each sub-space spanned by  $|g, n\rangle$  and  $|e, n - 1\rangle$ , the system thus performs Rabi oscillations with a slightly different frequency. If one starts with field state

that contains many different photon numbers (for example a coherent state), the Rabi oscillations will still evolve at a mean frequency  $\approx g\bar{n}$  where  $\bar{n} = \sqrt{\langle n \rangle}$  is the mean photon number. But at large times, the oscillations will ‘get out of phase’ because of their frequency spread. This leads to a ‘collapse’ of the Rabi oscillation amplitude, as illustrated in Figure 4.1. This collapse happens on the

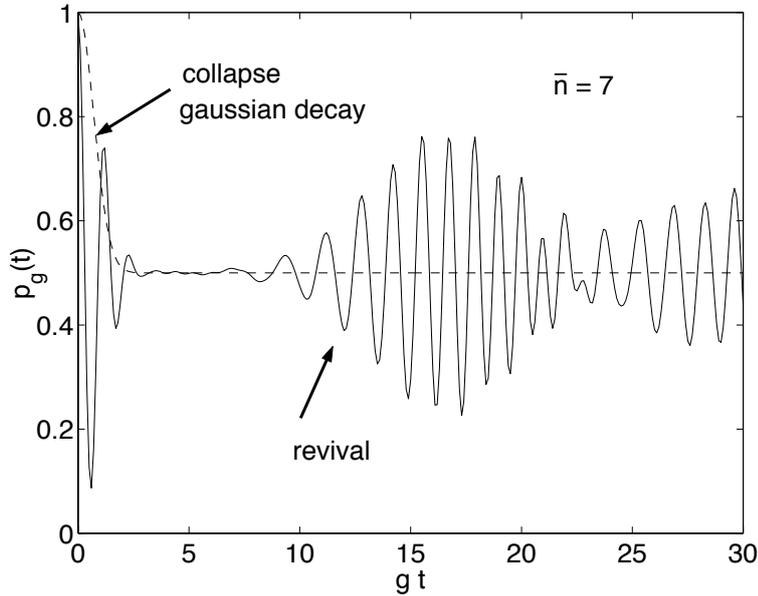


Figure 4.1: Ground state occupation  $p_g(t)$  for a two-level atom coupled to a single mode, initially in the coherent state  $|\alpha\rangle$  with  $|\alpha|^2 = 7$  (= average photon number). Time is in units of the ‘single-photon Rabi frequency’  $g$ .

time scale  $1/g$  which is, for a coherent state, a factor  $\sqrt{\langle n \rangle}$  times longer than the period of the initial Rabi oscillations. At still larger times, of order  $\sqrt{\langle n \rangle}/g$ , the amplitude of the oscillations ‘revives’ again. This is due to the fact that the Rabi frequencies form a discrete, incommensurable set (they are proportional to the irrational numbers  $\sqrt{n}$ ).

### Coherent field state

Let us look in more detail on the coherent state to understand this behavior. We need the following expansion in the number state basis

$$|\alpha\rangle = \sum_n c_n(\alpha)|n\rangle = \sum_n e^{-|\alpha|^2/2} \frac{\alpha^n}{\sqrt{n!}} |n\rangle \quad (4.12)$$

featuring the probability amplitudes  $c_n(\alpha)$  for the number states. The ‘*photon statistics*’ of a coherent state is the probability  $p_n = |c_n(\alpha)|^2$  of finding  $n$  photons, hence

$$p_n(\alpha) = |\langle n|\alpha\rangle|^2 = e^{-|\alpha|^2} \frac{|\alpha|^{2n}}{n!} \quad (4.13)$$

The average photon number is given by

$$\langle \alpha|\hat{n}|\alpha\rangle = \langle \alpha|a^\dagger a|\alpha\rangle = |\alpha|^2 \quad (4.14)$$

and the variance

$$(\Delta n)_\alpha^2 = |\alpha|^2 = \langle n\rangle \quad (4.15)$$

The relative width  $\Delta n/\langle n\rangle$  thus scales like  $\langle n\rangle^{-1/2}$  and becomes narrow as the average photon number grows. We shall focus in the following on the limit  $\bar{n} \gg 1$  where the different time scales for Rabi oscillations, collapse, and revival are well separated.

### JCP dynamics

Let us compute as for the classical Rabi oscillations the probability of finding the atom in the ground state. This is given by the sum over all photon numbers

$$p_g(t) = \sum_n |\langle g, n|\psi(t)\rangle|^2 \quad (4.16)$$

This is an example of an expectation value for a measurement on the ‘atom alone’, with no information retained on the field mode (the corresponding probabilities are summed over). The state vector is given by, using Eqs.(4.10, 4.12),

$$|\psi(t)\rangle = \sum_n c_n(\alpha) \left( \cos(gt\sqrt{n})|g, n\rangle - i \sin(gt\sqrt{n})|e, n-1\rangle \right) \quad (4.17)$$

where we have assumed, for simplicity, resonance between atom and field mode  $\Delta = 0$  (so that  $\omega_n = 2g\sqrt{n}$ ). We thus find from Eq.(4.16),

$$\begin{aligned} p_g(t) &= \sum_n |c_n(\alpha)|^2 \cos^2(gt\sqrt{n}) \\ &= \frac{1}{2} + \frac{1}{2} \sum_n |c_n(\alpha)|^2 \cos(2gt\sqrt{n}) \end{aligned} \quad (4.18)$$

where the second term gives the oscillating population we are familiar with from the Rabi flopping. The photon number enters via the scaling of the coupling: the frequency  $2g\sqrt{n}$  plays the role of the classical Rabi frequency.

## Collapse

Eq.(4.18) for the population  $p_g(t)$  looks like a difficult sum because of the square root in the cosine. Let us therefore assume that our coherent state has a large mean photon number,  $\bar{n} = |\alpha|^2 \gg 1$ . Its relative fluctuations in the photon number are then small, and we may expand the square root around the mean photon number  $\bar{n}$ :

$$2g\sqrt{n} \approx \bar{\Omega} + \frac{g(n - \bar{n})}{\sqrt{\bar{n}}} + \mathcal{O}((n - \bar{n})^2) \quad (4.19)$$

where the first term is the ‘average Rabi frequency’  $\bar{\Omega} = 2g|\alpha| = 2g\sqrt{\bar{n}}$ . We would like to replace the sum over  $n$  by an integral which is easier to solve. This is justified if the interaction time  $t$  is sufficiently short so that

$$\frac{gt}{\sqrt{\bar{n}}} \ll 1.$$

In this limit, the cosine  $\cos(2gt\sqrt{n})$  changes little from one photon number to the other (on the scale given by the period  $2\pi$  of the cos), and the sum can be seen as the Riemann sum approximation to an integral.

For the integral, we approximate the photon probability distribution  $|c_n|^2$  by a gaussian centred at  $\bar{n}$  with variance  $\bar{n}$  (since this is the photon number variance for a coherent state) and get

$$\begin{aligned} p_g(t) &\approx \frac{1}{2} + \frac{1}{2} \int \frac{dn}{\sqrt{2\pi\bar{n}}} e^{-(n-\bar{n})^2/2\bar{n}} \cos(\bar{\Omega}t + 2g^2t(n - \bar{n})/\bar{\Omega}) \\ &= \frac{1}{2} + \frac{1}{2} \cos(\bar{\Omega}t) e^{-(2g^2t/\bar{\Omega})^2\bar{n}/2} \end{aligned}$$

We thus see that the Rabi oscillations are damped with a gaussian factor

$$e^{-(g^2t/g\sqrt{\bar{n}})^2\bar{n}/2} = e^{-(gt)^2/2} \quad (4.20)$$

on a timescale  $t \sim 1/g$  given by the single-photon Rabi frequency. When the coherent state contains a large (average) number of photons, this time scale is much longer than the Rabi period itself.

## Revival

At longer times, we cannot replace the sum by an integral because the number states do not give Rabi phases  $gt\sqrt{n}$  that are close together: the  $\cos(2gt\sqrt{n})$  in

Eq.(4.18) varies rapidly from one term in the sum over  $n$  to another. To find the first instant of where something different may happen, we consider the time where the coefficient of  $n-\bar{n}$  in the expansion (4.19) is such that adjacent photon numbers have Rabi phases that differ by an integer multiple of  $2\pi$ : the functions  $\cos gt\sqrt{n}$  then add up constructively, and we get a ‘revival’ of the Rabi oscillation amplitude. This happens for the first time at the time given by

$$2\pi = 2gt\sqrt{n+1} - 2gt\sqrt{n} \approx \frac{gt}{\sqrt{\bar{n}}} \Rightarrow t_{\text{rev}} = 2\pi \frac{\sqrt{\bar{n}}}{g},$$

for large  $\bar{n}$ , this happens much later than the collapse we considered before.

To summarize, we have found the following hierarchy of timescales:

$$\begin{array}{ccc} gt \sim 1/\sqrt{\bar{n}} & gt \sim 1 & gt \sim \sqrt{\bar{n}} \\ \text{Rabi flopping} & \text{collapse} & \text{revival} \end{array}$$

For strong coherent fields like laser beams ( $\bar{n} \gg 1$ ), the revival regime is difficult to observe because the timescale is so long that other effects arise (phase fluctuations of the laser, e.g.). Collapse and revival experiments are therefore most easily done with few photon states.

The exact summation of the ground state probability (4.18) is shown in fig. 4.1 for a mean photon number  $\bar{n} = 7$ . Although the timescales are not well separated, the gaussian decay gives a good approximation to the collapse. The revival does not revive the Rabi oscillation with unit amplitude because of the breakdown of the expansion (4.19). Further revivals can be expected at integer multiples of  $t_{\text{rev}}$ , but higher order terms in the sum are change their shape. (A recent analysis can be found in Karatsuba & Karatsuba, *J Phys A* (2009).)

## 4.2 Schrödinger cats

In the early days of quantum mechanics (and animal protection), Schrödinger invented the following *Gedankenexperiment*: put a cat in a closed box where the decay of an unstable atom (an electronically excited state or an unstable nucleus) triggers an execution device that kills the cat. According to quantum mechanics, as long as nobody observes the decay, the atom is in a superposition of being excited and decayed. (See the atom+field state we wrote down in Section 2.5, Eq.(2.125).) And according to the linearity of quantum mechanics, this implies that the cat is in a superposition of alive (atom still excited) and dead (atom

has decayed). Schrödinger asked the question: do such superpositions occur in Nature?

This is actually already the modern formulation of the so-called Schrödinger cat: it is a quantum-mechanical superposition state whose elements are ‘macroscopically different’. And (this is important) which is different from a ‘classical mixture’ where the two states just occur with some relative probability, as if we have only incomplete knowledge about the state preparation. In formulas:

$$\text{Schrödinger cat: } |\psi_{\text{sc}}\rangle = |\text{alive}\rangle + |\text{dead}\rangle \quad (4.21)$$

$$\begin{aligned} \rho_{\text{sc}} = |\psi_{\text{sc}}\rangle\langle\psi_{\text{sc}}| &= |\text{alive}\rangle\langle\text{alive}| + |\text{dead}\rangle\langle\text{dead}| \\ &\quad + \{|\text{alive}\rangle\langle\text{dead}| + \text{h.c.}\} \end{aligned} \quad (4.22)$$

$$\text{no superposition: } \rho = |\text{alive}\rangle\langle\text{alive}| + |\text{dead}\rangle\langle\text{dead}| \quad (4.23)$$

(We have omitted normalization factors here: add  $1/\sqrt{2}$  and  $1/2$  where appropriate.) The ‘off-diagonal’ or ‘skew’ terms  $|\text{alive}\rangle\langle\text{dead}|$  in Eq.(4.22) make the difference between a Schrödinger cat and a ‘classical cat’.

### 4.2.1 Example: superposition of coherent states

A simple model where a Schrödinger cat occurs for a single field mode is the following model borrowed from nonlinear optics.<sup>2</sup> Take the single-mode Hamiltonian

$$H = \hbar\omega a^\dagger a + \hbar g (a^\dagger a)^2 \quad (4.24)$$

and compute the time evolution of a coherent state  $|\psi(0)\rangle = |\alpha\rangle$ . This is easy because the action of  $H$  in the Fock basis is simple. The nonlinear term  $\hbar g (a^\dagger a)^2$  can occur in nonlinear optics with a  $\chi^{(3)}$ -polarization: it describes a mode frequency  $\omega + g a^\dagger a$  that depends on the photon number. This is also called a ‘Kerr effect’ (intensity-dependent index of refraction).

Time evolution for special times  $t$ :

- For an interaction time  $gt = 0 \bmod 2\pi$ , one gets

$$\psi(t_{2\pi}) = |\alpha e^{-i\omega t_{2\pi}}\rangle. \quad (4.25)$$

Note that at intermediate times, the state is in general not a coherent one.

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<sup>2</sup>“Generating quantum mechanical superpositions of macroscopically distinguishable states via amplitude dispersion,” B. Yurke and D. Stoler (*Phys. Rev. Lett.* 1986). A similar model with atoms (not photons) has been discussed in ‘Atomic Schroedinger cat states,’ G. S. Agarwal and R. R. Puri and R. P. Singh (*Phys. Rev. A* 1997).

- Waiting half as long:

$$gt = \pi \bmod 2\pi : \quad \psi(t_\pi) = |-\alpha e^{-i\omega t_\pi}\rangle. \quad (4.26)$$

Note the minus sign: this is opposite to the place where one would expect the state from the linear evolution.

- Shorten the time by another factor 1/2:

$$gt = \frac{\pi}{2} \bmod 2\pi : \quad \psi(t_{\pi/2}) = \frac{e^{-i\pi/4}}{\sqrt{2}} |\alpha e^{-i\omega t_{\pi/2}}\rangle + \frac{e^{i\pi/4}}{\sqrt{2}} |-\alpha e^{-i\omega t_{\pi/2}}\rangle. \quad (4.27)$$

This is the Schrödinger cat: a superposition of two coherent states which have a distance  $2|\alpha|$  in the phase-space plane.

Yurke & Stoler (1986) work out the probability distribution for quadrature measurements in this state: at one phase angle (along the axis joining  $|\alpha_0\rangle = |\alpha e^{-i\omega t_{\pi/2}}\rangle$  and  $|\alpha_0\rangle$ ), one gets a double-peaked distribution. For the other quadrature (perpendicular axis), one gets a single peak with fringes (*Streifen*). These are interference fringes and make the difference between a superposition state and a classical mixture.

If the cat state is passed through a beam splitter (transmission  $T$ ), the contrast of the interference fringes is reduced. This happens not with the reflectivity  $R = 1 - T$  (ideally, a small number) but with  $2(1 - T)|\alpha|^2$ , hence much faster if the two cat states are macroscopically separated. The amplitude of the fringes (ideally, 100%) is proportional to  $\exp[-2(1 - T)|\alpha|^2]$ . This is an example of the general rule: *Macroscopic superpositions are much more fragile against losses*. The state after the beam splitter thus becomes closer to a classical mixture. (More precisely, one has to describe it by a reduced density matrix, and it is no longer a pure state. This will be studied in detail in Part II of the lecture.)

The reason for this is that the other output port of the beam splitter contain ‘*welcher Weg*’ information: with some precision one can infer whether the input state was  $|\alpha_0\rangle$  or  $|\alpha_0\rangle$  – as soon as this information is ‘large enough’, the interference is washed out.

More details on the related ‘decoherence programme’ in “Decoherence and the transition from quantum to classical” by Wojciech H. Zurek (*Physics Today* Oct. 1991) and arXiv:quant-ph/0306072.

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